



ADDITIONAL RISK DISCLOSURE FOR A FCSTONE, LLC ACCOUNT

1. If you are opening a FCStone, LLC account and you meet certain criteria, you may be asked to sign an **Additional Risk Disclosure**.
2. Read, fill in the applicable information at the bottom, and sign the **Additional Risk Disclosure**, and return the completed form with the rest of the Customer Account Documents to:

FCStone, LLC
Client Service Center
1251 NW Briarcliff Parkway
Suite 800
Kansas City, MO 64116



ADDITIONAL RISK DISCLOSURE

In light of the financial and/or personal information provided by you on your account application, it might be interpreted that:

1. *The amount of funds you have committed to your Futures/Options Account with this firm might be overly substantial in relation to your annual income and/or net worth.*
2. *Based on your personal information and investment experience (or apparent lack thereof), futures/options trading might be too risky of an investment strategy.*

Based on these criteria, FCStone, LLC is providing you with the following Additional Risk Disclosure information.

FUTURES AND OPTIONS TRADING IS GENERALLY CONSIDERED TO BE A RISKY FORM OF INVESTMENT. IF YOU HAVE PURSUED CONSERVATIVE FORMS OF INVESTMENT IN THE PAST, YOU MAY WISH TO STUDY FUTURES AND OPTIONS TRADING FURTHER BEFORE CONSIDERING AN INVESTMENT. YOU MUST REALIZE THAT YOU COULD SUSTAIN A TOTAL LOSS OF ALL FUNDS DEPOSITED WITH FCSTONE, LLC AS INITIAL MARGIN AS WELL AS SUBSTANTIAL AMOUNTS OF ADDITIONAL CAPITAL REQUIRED TO MARGIN YOUR POSITIONS. IF YOU CANNOT DEPOSIT ADDITIONAL FUNDS, YOUR POSITION(S) COULD BE LIQUIDATED AT A LOSS FOR WHICH YOU WILL BE RESPONSIBLE.

We ask that you acknowledge by your signature below, that the funds you have committed are purely risk capital, and that such investment will not jeopardize your style of living, nor will it detract from your future retirement program. You further acknowledge that you fully understand the nature of such investment, the risks involved and that your obligations to others will not be neglected by possible loss of funds invested.

I ACKNOWLEDGE THAT I HAVE RECEIVED, READ AND UNDERSTOOD THE ABOVE ADDITIONAL RISK DISCLOSURE STATEMENT.

Signature

Print Name

Date

Account number

IF JOINT ACCOUNT:

Signature Customer #2

Signature Customer #3

Print Name Customer #2

Print Name Customer #3

Date

Account number

Date

Account number