



**ADDITIONAL INSTRUCTIONS FOR A FCSTONE, LLC ACCOUNT MANAGED  
BY A THIRD PARTY NOT REGISTERED AS A COMMODITY TRADING ADVISOR (CTA)**

1. If you are opening a FCStone, LLC account to be directed or managed by a third party not registered as a Commodity Trading Advisor, read and sign the **Managed Account Authorization**.
2. Read, fill in the applicable information, and sign the **Third Party Controller Statement**. Do not forget to make the applicable selection (items a through f) at the top. Please note that the third party that will be granted discretion over the account should fill in and sign in the middle of the form.
3. Return the completed Managed Account documents with the rest of the Customer Account Documents to:

FCStone, LLC  
Client Service Center  
1251 NW Briarcliff Parkway  
Suite 800  
Kansas City, MO 64116

# MANAGED ACCOUNT AUTHORIZATION

Account Number: \_\_\_\_\_

I/we, the undersigned, do hereby make, constitute, and appoint \_\_\_\_\_

my/our true and lawful attorney, and agent, in fact for me/us and in my/our name, place and stead, and for my/our account (either individually or jointly with another or others) to buy and sell commodity futures contracts, commodity options contracts and cash commodities through the firm of FCStone, LLC. The power to sell shall include the power to make short sales.

I/we hereby ratify and confirm all that my/our said agent and attorney-in-fact shall lawfully do or cause to be done in the premises. Confirmation of trades made on my/our behalf and risk will be mailed to me/us the same day a trade or trades are consummated, and an accurate account of my/our money will be kept. I/we will indemnify you and pay promptly, on demand, any losses arising from such trades and any debit or deficit balance due thereon.

My/our said agent and attorney-in-fact is not authorized to withdraw from my/our said account any monies, securities or other property either in my/our name or otherwise. This power of attorney shall remain in full force and effect until receipt from me/us by FCStone, LLC of a written notification of my/our revocation thereof.

_____	_____
Customer's Signature	Date
_____	_____
Customer's Signature	Date
_____	_____
Customer's Signature	Date
_____	_____
Customer's Signature	Date

Agent's Relationship (if any) to Customer: \_\_\_\_\_

# Third Party Controller Statement

## Third Party Account Controller:

I am not required to provide a disclosure document to the customer because I am exempt from registering as a Commodity Trading Advisor (CTA) with the Commodity Futures Trading Commission (CFTC) as indicated below: (Check the exemption which applies)

\_\_\_\_\_a) I have provided advice to 15 or fewer persons during the past 12 months, I am not charging fees or being compensated in anyway, and do not hold generally to the public as a CTA, including but not limited to soliciting customers.

\_\_\_\_\_b) I am a (1) dealer, processor, broker or seller in cash market transactions or (2) non-profit, voluntary membership, general farm organization, who provides advice on the sale or purchase of commodities, and any trading advice is solely incidental to the conduct of my business.

\_\_\_\_\_c) I am registered in another capacity and my advice is solely incidental to my principal business or profession.

Please indicate the principle business or profession: \_\_\_\_\_

\_\_\_\_\_d) I (we) are foreign-based entity; located outside of the United States, and I (we) only solicit non-U. S. customers.

\_\_\_\_\_e) I am a relative of the account holder. My relationship to the account holder is \_\_\_\_\_.

\_\_\_\_\_f) I am operating under a CFTC rule 4.7, 4.13 or 4.14 exemption.

Name: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Email Address: \_\_\_\_\_

\_\_\_\_\_  
Third Party Controller Signature

\_\_\_\_\_  
Date

The third party controller must include a copy of one or more of the following: a legible copy of a driver's license, passport, or other valid government issued identification.

## Customer(s) Acknowledgement:

I (we), \_\_\_\_\_ acknowledge that \_\_\_\_\_  
Customer Name Third Party Controller Name

is not required to provide me with a disclosure document as explained above. My relationship to the third

party controller is \_\_\_\_\_. I am \_\_\_\_\_ paying \_\_\_\_\_ not paying him, \_\_\_\_\_ as his  
compensation for trading my account.

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
Date