

INTL FCSTONE LTD

2018 ANTI-HUMAN TRAFFICKING AND MODERN SLAVERY STATEMENT

This statement reflects our commitment to improving our practices to combat slavery and human trafficking.

ORGANISATION'S STRUCTURE

We provide financial services specifically in the foreign exchange and commodities sector. We are a part of the INTL FCStone group (**Group**), and our ultimate parent company is INTL FCStone Inc. INTL FCStone Inc. has its head office in the United States of America. We have over 150 employees and operate primarily in Europe, in the Middle East, Asia and Australia through other subsidiaries of the Group.

Our annual turnover is approximately \$173 million.

OUR BUSINESS

Our business is organised into five business units including; Commodities traded on the London Metal Exchange (**LME**), Foreign Exchange, Payment Services, Grain and Energy and Precious Metals. Our business is primarily focused on the derivative/non-deliverable commodities transactions but may occasionally include physical delivery transactions.

OUR SUPPLY CHAINS

In recognition of our business scope as described in the immediately preceding paragraph, our supply chains include banks, financial institutions, mines, refineries or large agricultural products producers.

OUR POLICY ON SLAVERY AND HUMAN TRAFFICKING

We are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our Anti-slavery Policy (**Policy**), which is available on request, reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.

DUE DILIGENCE PROCESSES FOR SLAVERY AND HUMAN TRAFFICKING

As part of our initiative to identify and mitigate risk we ensure that we identify and know our clients such that we can easily identify possible risks that may be associated with proposed clients or contracting relationships.

We have in place systems to:

- Identify and assess potential risk areas in our supply chains.
- Mitigate the risk of slavery and human trafficking occurring in our supply chains.
- Monitor potential risk areas in our supply chains.
- Protect whistle blowers.

SUPPLIER ADHERENCE TO OUR ETHICS

We have zero tolerance to slavery and human trafficking. To ensure all those in our supply chain and contractors comply with our ethics, we have in place a due diligence programme that ensures compliance with our policy and facilitates our efforts to mitigate risks.

TRAINING

To ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business, we ensure that our staff familiar with the requirements of our policy and their employment obligations thereto.

FURTHER STEPS

Following a review of our business processes to ensure that there is no slavery or human trafficking in our supply chains we intend to continue to review our due diligence programme to ensure robustness in satisfying our obligations under the Policy and combating slavery.

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes slavery and human trafficking statement for the financial year ending 2017.

Philip Smith
Chief Executive Officer
INTL FCStone Ltd